# Optical Phase Conjugation for Ultra Long-Haul Phase-Shift-Keyed Transmission

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Abstract—Nonlinear phase noise (Gordon-Mollenauer phase noise) can limit the transmission distance for phase-shift-keyed modulation formats. In this paper, the compensation of nonlinear phase noise by a midlink optical phase conjugation (OPC) is studied. A proof-of-principle experiment is presented showing an over 4-dB improvement in Q factor when OPC is employed in a differential phase-shift-keying (DPSK) system. Also, an ultra long-haul OPC-based differential quadrature phase-shift-keying (DQPSK) transmission experiment is studied to show the impact of self-phase modulation (SPM)-induced impairments, including nonlinear phase noise, in a transmission line. OPC results in a 44% increase in transmission distance when compared to a "conventional" transmission system using dispersion compensating fiber (DCF) for chromatic dispersion compensation.

Index Terms—Alternative modulation formats, differential phase-shift keying (DPSK), differential quadrature phase-shift keying (DQPSK), dispersion compensation, fiber optics communications, nonlinear phase noise, periodically poled lithium niobate (PPLN), phase conjugation, phase-shift keying, spectral inversion.

### I. INTRODUCTION

RECENTLY, a strong interest has been shown in phase-shift-keyed modulation formats for long-haul transmission [1]. The most promising phase-shift-keyed modulation formats are differential phase-shift keying (DPSK) and differential quadrature phase-shift keying (DQPSK). The main advantages of DPSK over amplitude shift keying (ASK) are that DPSK has, in combination with balanced detection, a 3-dB higher sensitivity and is more robust to narrowband optical filtering [2]. The advantages of DQPSK are favorable spectral width and high tolerances for chromatic and polarization-mode dispersion at the same bit rate as binary modulation [3], [4]. However, phase-shift-keyed transmission suffers from increased impact of nonlinear-phase-noise (Gordon–Mollenauer phase noise) degradation [5], [6].

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Several optical compensation schemes have been proposed to compensate for nonlinear phase noise. However, only few successful experiments have so far been reported—post-transmission nonlinear phase-shift compensation (NPSC) [7], semiconductor optical amplifier (SOA)-based regenerative amplification [8], and optical phase conjugation (OPC) [9], [10].

OPC, which is a promising method to compensate for non-linear phase noise, is a technique where the spectrum of the data signal is inverted in the transmission link (typically in the middle) [11]–[18]. Impairments experienced in the first part of the link are then cancelled out with the impairments in the second part of the transmission link. It has been experimentally shown that OPC can compensate for chromatic dispersion [11]–[15], self-phase modulation (SPM), [16] and also intrachannel nonlinear impairments [17]–[19]. The key advantages of OPC are that simultaneous processing of multiple channels is possible [20] and that an OPC is transparent to modulation format and data rate [21].

In this paper, the compensation of SPM-induced nonlinear phase noise by OPC is discussed, enabling 21.4-Gb/s DQPSK transmission over > 10 000 km. In Section II, we show that the OPC can be employed to compensate for SPM-induced nonlinear phase noise. In this proof-of-principle experiment, the effect of nonlinear phase noise is emphasized by using a high fiber input power and by artificially reducing the optical signal-to-noise ratio (OSNR) before transmission. We show that at low transmitted OSNRs, where the effect of the phase noise is strongest, over 4 dB of improvement in Q factor can be realized through the use of OPC.

Section III describes an ultra long-haul 21.4-Gb/s DQPSK transmission experiment. In this experiment, the performance of OPC for compensation of chromatic dispersion and nonlinear impairments is compared to conventional dispersion compensating fiber (DCF)-aided transmission. We show that the performance of the DCF-based scheme is severely impaired by SPM-induced impairments such as nonlinear phase noise, whereas the performance of the OPC is virtually unaffected.

# II. COMPENSATION OF NONLINEAR PHASE NOISE: PROOF OF PRINCIPLE

In this section, a proof-of-principle experiment is described to show that OPC can effectively compensate for impairments due to nonlinear phase noise. Initial results of this proofof-principle experiment are reported in [9]. In these experiments, the inversion of the sign of the effective chromatic

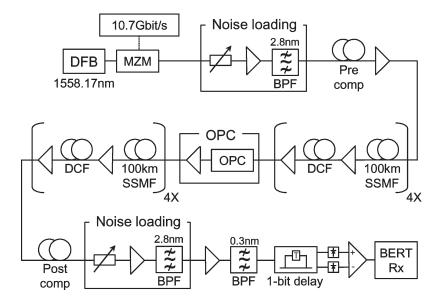


Fig. 1. Experimental DPSK transmission setup with and without OPC.

dispersion due to OPC is not cancelled. Improved results where the effective dispersion map is maintained are reported in [10].

# A. Nonlinear-Phase-Noise Impairment on DPSK Transmission

The experimental setup is depicted in Fig. 1. This proof-of-principle experiment was single channel in order to assess only SPM-induced nonlinear phase noise. A nonreturn-to-zero (NRZ)-DPSK signal is generated at 1558.2 nm by a distributed feedback (DFB) laser and a Mach–Zehnder modulator (MZM) in a push-pull configuration. The bit rate is 10.7 Gb/s, and the length of the pseudorandom bit sequence used is  $2^{31}-1$ . Before transmission, a noise generation scheme consisting of an attenuator, an optical amplifier, and a bandpass filter (BPF) is inserted, enabling setting the OSNR of the data signal at the system input. Also, the signal is precompensated by a DCF with -510 ps/nm.

The transmission line consists of eight spans of 100-km standard single-mode fiber (SSMF). After each span, a DCF module is used to compensate for the chromatic dispersion. The average undercompensation per span is 55 ps/nm. Erbiumdoped fiber amplifiers (EDFAs) are used between each SSMF and DCF module to compensate for the fiber loss. In the 800-km long transmission line, the effect of nonlinear phase noise will not be clearly present for optimized transmission parameters. Nonlinear phase noise is an interaction between an amplified spontaneous emission (ASE) and an SPM. Hence, we enhance the effect of nonlinear phase noise by artificially reducing the OSNR at the transmitter (to increase ASE noise) and by using high input powers into the SSMF (to enhance SPM). The input powers into the SSMF and DCF are 11.5 and 1.5 dBm, respectively. The loss of the SSMF spans varied between 21 and 24 dB, and the loss of the DCF modules varied between 10 and 13 dB.

After transmission, the postcompensation is optimized to achieve the minimum bit error rate (BER). Throughout this

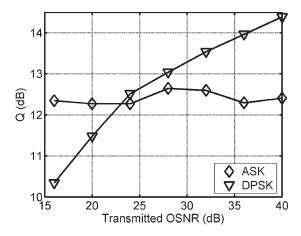


Fig. 2. Q factor at 12-dB received OSNR as a function of the transmitted OSNR for the DPSK and ASK modulation formats (res. bw.  $=0.1\,\mathrm{nm}$ ).

paper, Q factors in decibels will be reported as computed by (1).

$$Q = 20 \log \left( \sqrt{2} \times erfcinv(2 \times BER) \right). \tag{1}$$

At the receiver, the OSNR is kept constant at 12 dB using an attenuator, an EDFA, and a 2.8-nm BPF. Subsequently, a 0.3-nm BPF removes the out-of-band ASE. The DPSK detector consists of a Mach–Zehnder delay interferometer (MZDI) with a 1-bit delay (93.5 ps), a balanced receiver, and a 10.7-Gb/s BER tester.

Fig. 2 shows the Q factor after the 800-km transmission as a function of the transmitted OSNR for DPSK and ASK. The OSNR at the receiver is kept constant at 12 dB by noise loading at the system output. At a high transmitted OSNR (40 dB), the DPSK modulation format performs over 2-dB better in Q factor than the ASK modulation format. Similar to the results reported in [6], the performance of the DPSK modulation format is severely affected by nonlinear phase noise when the OSNR of the transmitter is reduced, whereas for the ASK modulation format, the performance is unaffected. As a result, when the

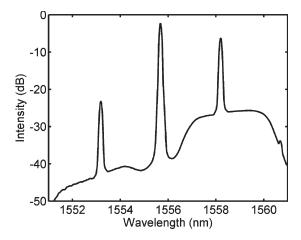


Fig. 3. Optical spectrum after the SOA (res. bw. = 0.1 nm).

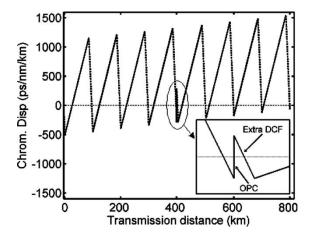


Fig. 4. Chromatic dispersion as a function of the transmission distance for the link with OPC.

transmitted OSNR is reduced to 16 dB, the performance of the DPSK modulation format is about 2-dB worse than the performance of the ASK modulation format.

# B. OPC for Nonlinear-Phase-Noise Compensation

In order to study the effect of OPC on the impairments due to nonlinear phase noise, a phase conjugator is added in the middle of the 800-km transmission line.

OPC can be used to compensate for the chromatic dispersion. In such an application, the inline DCF is removed from the transmission line resulting in a nonperiodic dispersion map. However, in this proof-of-principle experiment, the effect of a nonperiodic dispersion map on the nonlinear-phase-noise penalty is excluded. For this reason, the same effective dispersion map is used in this experiment for the DCF-aided and the OPC-aided transmission systems.

OPC of the data signal is realized by a four-wave mixing (FWM) in an SOA. Fig. 3 shows the experimental setup of the SOA-based OPC subsystem. The data is combined with the amplified output of a DFB pump laser and fed into a 2-mm long SOA. Inside the SOA, the pump signal at 1555.7 nm and the data signal at 1558.2 nm generate an FWM product at 1553.3 nm. Fig. 4 depicts the optical spectrum after the SOA.

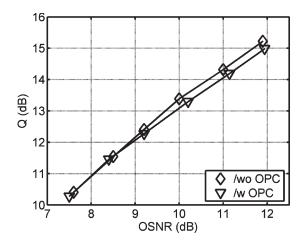


Fig. 5. Back-to-back Q factor as a function of the OSNR with and without OPC (res. bw. =0.1 nm).

In this plot, the three signals—an incoming data signal at 1558.2 nm, a continuous-wave (CW) pump signal at 1555.7 nm, and the FWM product at 1553.3 nm—can be identified.

The injection current of the SOA is set to 730 mA, and the input optical powers into the SOA are 11 and -1 dBm for the control and the data signals, respectively. The saturation power of the SOA is 8 dBm. After conversion, the pump is removed by a fiber Bragg grating (FBG). An isolator prevents the light reflected by the FBG from propagating back into the SOA. Finally, the original data signal is removed using an 8-nm BPF.

The phase-conjugated signal has the inverted signal spectrum from the incoming data signal. Through this process, the sign of the effective cumulative chromatic dispersion is also inverted. Similar to [22], the same cumulative dispersion as in the non-OPC configuration is obtained by using a DCF module after OPC to shift the effective accumulated chromatic dispersion to the value it has before OPC. The chromatic dispersion as a function of the transmission distance for the link with OPC is depicted in Fig. 4.

The conversion efficiency, defined as the difference in optical power levels between the input and the converted output data signal, is -16.4 dB. Fig. 5 depicts the back-to-back Q factor as a function of the OSNR with and without OPC. The measured OSNR penalty due to OPC is  $\sim 0.2$  dB. This is a good indication that the contribution of the FWM conversion processes and the SOA to nonlinear impairments is small and can be neglected.

The Q factor as a function of the transmitted OSNR for the transmission system with and without OPC is plotted in Fig. 6. At a high transmitted OSNR (40 dB), where the effect of nonlinear phase noise is low, the OPC-based configuration shows a 1-dB improvement in Q factor compared to the DCF-based configuration. The simulations discussed in Section II-C will show that the improvement in Q factor at a high transmitted OSNR results from a compensation of the SPM through OPC. At low transmitted OSNR (16 dB), where the influence of nonlinear phase noise is the largest, the Q factor of the system without OPC decreases by almost 4 dB, whereas the Q factor of the link with the OPC decreases by less than 1 dB. Thereby, at

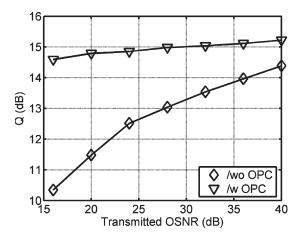


Fig. 6. Experimental result: Q factor as a function of the transmitted OSNR for DPSK transmission with and without OPC (res. bw. = 0.1 nm).

a low transmitted OSNR, over 4 dB of improvement in Q factor is obtained for the OPC-based configuration compared to the system without OPC.

## C. Verification Through Simulations

The experimental results presented in Section II-B are verified by simulations [23]. In these simulations, the propagation of the signal is simulated using a linear convolution (asymmetric) split-step Fourier algorithm. The noise figure of the inline amplifiers is 4 dB. ASE noise is added at the transmitter and at every amplifier along the line, and the interaction of ASE and the signal due to the SPM is taken into account. Monte Carlo simulations are used to estimate the Q factor. Fragments of a pseudorandom binary sequence (PRBS) with a length of  $2^{32}-1$  are used in the simulation. The maximum length of the bits simulated per point is 2000000. In order to reduce the simulation time, the bit sequence is divided into smaller blocks that can be processed by fast Fourier transform (FFT) methods. The number of points for the FFT is 8192, and the dependencies between the blocks are taken into account by the overlap-add method [24]. Additionally, the simulation for a certain point is stopped when 100 errors occurred.

The simulation bandwidth is 16 samples per bit, and the step size was chosen so that the maximum nonlinear phase shift is  $0.1^{\circ}$ . It was verified that a smaller step size does not change the results. The reliability of the results of the Monte Carlo simulations depends on the number of transmitted bits "N" and the number of errors "n" and can be estimated by means of confidence intervals [24], e.g., for  $N=10^6$  and  $n=10^2$ , the probability that  $8\times 10^{-5} < \mathrm{BER} < 1.2\times 10^{-4}$  is 99%. The dispersion map, the input powers, and the span count are equal to those of the experimental setup. The decision threshold for the DPSK signal is fixed to 0.

For the simulation, an ideal phase conjugation is assumed; since in the experimental setup, a power penalty of only less than 0.2 dB is measured for OPC. The amount of postcompensation is optimized for operation with and without OPC individually at a high transmitted OSNR. Fig. 7 depicts Q factor as a function of the residual chromatic dispersion at a high transmitted OSNR. With OPC, most SPM is compensated for

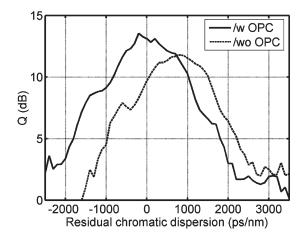


Fig. 7. Simulation: Q factor as a function of the residual dispersion with and without OPC.

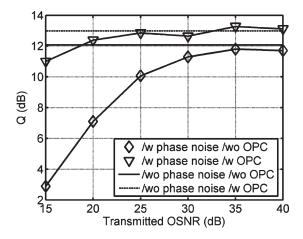


Fig. 8. Simulation: Q factor as a function of the transmitted OSNR at 9-dB received OSNR, with and without OPC.

through-phase conjugation; hence, the accumulated dispersion is optimal around 0 ps/nm. Without OPC, the positive residual dispersion is optimal after transmission since the dispersion partly compensates the SPM. The residual dispersions used in the simulations are -202 ps/nm and 798 ps/nm for the system with and without OPC, respectively.

Fig. 8 depicts the Q factor with and without OPC with optimized postcompensation. The receiver OSNR is kept constant at 9 dB. The receiver OSNR in the simulations is set lower than in the experiments, since the used Monte Carlo approach restricts the maximum Q factor that can be simulated with reasonable computation effort. We also carried out simulations with and without OPC, where the effect of nonlinear phase noise is switched off. In these simulations, noise is computed analytically and added at the receiver. The transmitted OSNR in these simulations does not influence the Q factor after transmission and are represented in Fig. 8 as horizontal lines.

Comparing the simulation (Fig. 8) and the experimental (Fig. 6) results, a good agreement can be seen. In both the simulation and the experiment, a  $\sim$  1-dB Q-factor difference is present at high transmitted OSNR, whereas at low transmitted OSNR, the difference in Q factors increases to over 4 dB due to the compensation of nonlinear phase noise. When the effect of nonlinear phase noise is neglected and the noise is

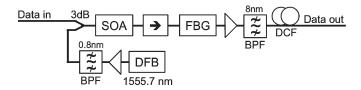


Fig. 9. Layout of the SOA-based OPC subsystem.

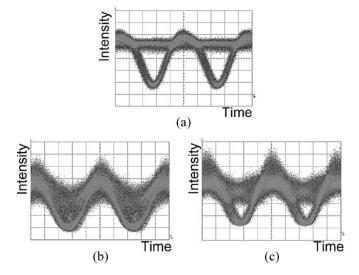


Fig. 10. Phase eye diagrams of the NRZ-DPSK signal (a) back-to-back, (b) after transmission at high transmitted OSNR (40 dB) without OPC, and (c) with OPC.

computed analytically, a  $\sim 1\text{-dB}$  improvement in Q factor is still present. Hence, we conclude that the improvement at a high transmitted OSNR is due to the SPM compensation in the OPC configuration.

The compensation of SPM is also evident in the experimental phase eye diagrams. The "phase eye diagram" refers to the eye diagram before the 1-bit delay (see Fig. 9). Fig. 10(a) shows the back-to-back phase eye diagram of the NRZ-DPSK signal. Fig. 10(b) and (c) shows the phase eye diagrams after transmission at a high transmitted OSNR with and without OPC, respectively. It can be seen that the phase eye diagram is less distorted when OPC is employed.

## D. OPC Placement

In order to test the dependence of the Q factor on the location of the OPC-unit within the link, the unit is placed in several locations and the Q factor is measured. The Q factor after the 800-km transmission link for the highest (40 dB) and the lowest (16 dB) transmitted OSNRs is plotted in Fig. 11. The two lines at a Q factor of 10.4 dB and a Q factor of 14.5 dB represent the performance of the system without OPC at the 16-dB and the 40-dB transmitted OSNR, respectively. The OSNR at the receiver is kept constant at 12 dB.

The system with OPC performs best for both high and low transmitted OSNR, when the device is placed in the middle of the link. The least effective measured location of the OPC is after the first  $(X=1,\,Y=7)$  span or before the last  $(X=7,\,Y=1)$  span. The performance at these places is comparable

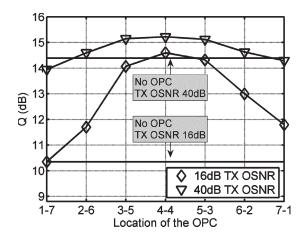


Fig. 11. Q factor as a function of the location of the OPC (X-Y), where X= number of spans before OPC and Y= number of spans after OPC.

to the performance of the transmission link without OPC. Through OPC, a signal is regenerated indirectly—the phase of the signal is conjugated; hence, the signal distortions are reverted along the rest of the transmission line. When the OPC-unit is placed too early in the link, no distortions occurred yet; hence, the cancellation effect is small. When the OPC-unit is placed near the end of the transmission link, the signal distortions cannot be totally reverted in the rest of the transmission line. This reduces the cancellation effect as well. Additionally, the OSNR is reduced in the OPC-unit due to the noise of the SOA, which causes impairments due to nonlinear phase noise in the transmission path after OPC.

In order to show the effect of nonlinear phase noise in the 800-km proof-of-principle experiment, noise is added at the transmitter. In a real-world transmission system, noise accumulates along the line and is not artificially introduced. However, this also affects the optimum placement of the OPCunit in a transmission line to optimally compensate for the impairments through nonlinear phase noise. It has been shown that the optimum placement for the OPC-unit is at 66% in a real-world transmission line [25], as opposed to 50%, as found for the proof-of-principle experiment. However, as we have shown in Fig. 11, the compensation of nonlinear phase noise through OPC is highly tolerant to the placement of the OPC. In the next section, we show that in long-haul transmission without noise loading at the transmitter, midlink OPC (50% placement) is able to compensate for the detrimental impact of SPM-induced nonlinear impairments, including nonlinear phase noise. This makes it possible to combine both nonlinearphase-noise compensation and chromatic dispersion compensation, thereby utilizing both interesting aspects of OPC.

#### III. ULTRA LONG-HAUL DQPSK TRANSMISSION

Section III describes an ultra long-haul DQPSK transmission experiment comparing the performance of DCF-aided and OPC-aided transmissions. Since in this experiment OPC is not only used for the compensation of nonlinear impairments but also for chromatic dispersion compensation, the OPC-unit is placed in the middle of the transmission link.

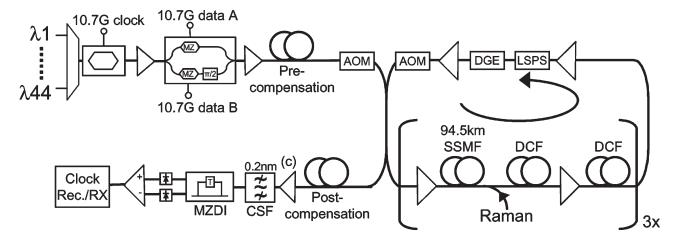


Fig. 12. Experimental setup of the DCF-aided transmission link.

#### A. DCF-Aided DQPSK Transmission

The experimental setup of the DCF-aided recirculating loop is depicted in Fig. 12. At the transmitter, 44 CW signals on a 50-GHz grid are generated in the C band by DFB lasers and multiplexed by using an arrayed waveguide grating (AWG). Subsequently, a modulator cascade consisting of two external LiNbO<sub>3</sub> MZMs is used to generate return-to-zero (RZ) DQPSK. The first modulator is driven with a 10.7-GHz clock signal, carving a pulse with a 50% duty cycle. The second modulator is an integrated DQPSK modulator with two parallel MZMs within a super Mach–Zehnder structure. The relative phase shift between the two parallel modulators is  $\pi/2$ . Two 10.7-Gb/s data streams (one inverted: data A, one noninverted: data B) with a relative delay of 5 bits for decorrelation of the bit sequences are used for modulation of the 21.4-Gb/s DQPSK signal. The length of the PRBS used is  $2^{15} - 1$ . In this experiment, no longer PRBS length can be used without precoding since the DQPSK modulation format requires the BER test set (BERT) to be programmed.

The transmission line consists of three 94.5-km spans of SSMF with an average span loss of 21.5 dB and a chromatic dispersion of  $\sim 16$  ps/nm/km. The loss of the SSMF spans is compensated by using a hybrid Raman/EDFA structure for signal amplification. The average ON/OFF Raman gain of the backward pumped Raman pumps is  $\sim 11$  dB. After each span, a DCF module is used to compensate for the chromatic dispersion. To balance the DCF insertion loss, 20% of the DCF is placed between the Raman pump and the first stage of the inline amplifier. A loop-synchronous polarization scrambler (LSPS) is used to reduce the statistical correlation of loop-induced polarization effects. Power equalization of the dense wavelength division multiplexing (DWDM) channels is provided by a channel-based dynamic gain equalizer (DGE) with a bandwidth of 0.3 nm. Hence, spectral filtering of the signals occurs with every recirculation. After transmission, the dispersion is optimized on a per-channel basis. A 0.2-nm channel-selection filter (CSF) is used to select the desired channel. After a 1-bit (94 ps) MZDI and a balanced detector, the clock is recovered and the performance of the signal is evaluated using a BERT programmed for the expected output sequence.

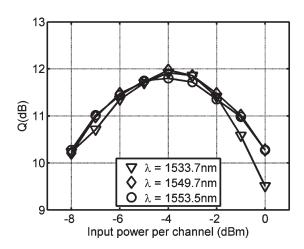


Fig. 13. Q factor as a function of the input power per channel.

In order to optimize the performance of the DCF-based transmission system, the optical input power into the SSMF, the inline dispersion map, and the precompensation is optimized at a 4500-km transmission distance. For this optimization, the Q-factor performance is measured of three typical channels, namely 1533.9, 1549.7, and 1553.7 nm. The Q factor as a function of the input power per channel is depicted in Fig. 13. The inline undercompensation in the input power variation experiment is set to  $\sim 80~\rm ps/nm/span$ , and the precompensation is fixed to  $-850~\rm ps/nm$ .

When a low input power into the SSMF is used (-8 dBm per channel), the transmission is OSNR limited. At a high input power, the system is limited by nonlinear impairments. An optimum input power of -4 dBm/channel is used in the transmission experiment. Fig. 14 shows the Q factor as a function of the inline undercompensation per span. The input power is set to -4 dBm/channel, and a precompensation of -850 ps/nm is used.

At a low inline undercompensation, the influence of cross-phase modulation (XPM) and XPM-induced nonlinear phase noise becomes more severe due to insufficient walk-off between the channels [26]. No decrease in Q factor is detected up to an undercompensation of 110 ps/nm/span. A large undercompensation is impractical for ultra long-haul transmission, since

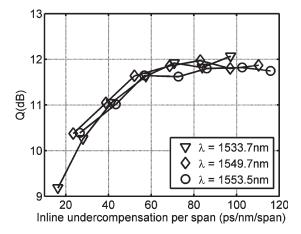


Fig. 14. Q factor as a function of the inline undercompensation per span.

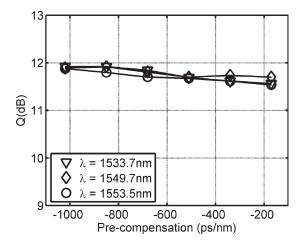


Fig. 15. Q factor as a function of the precompensation.

huge amounts of postcompensation are then required at the receiver. Considering this tradeoff, an undercompensation of around 80 ps/nm/span is chosen.

In Fig. 15, the Q factor is plotted as a function of the precompensation. In this experiment, the input power is set to -4 dBm/channel and the inline undercompensation is fixed to 80 ps/nm/span. From this plot, we can conclude that the amount of precompensation does not have a strong influence on the performance of the DQPSK transmission. The precompensation used in the transmission experiment is -850 ps/nm. Using these optimized parameters, the Q factor of a typical channel (in phase, 1550.7 nm) is assessed as a function of the transmission distance as plotted in Fig. 16.

At shorter distances, the Q factor shows a linear decrease with an exponential increase in the transmission distance. After a 5000-km transmission, the Q factor deviates from the linear decrease. For the RZ-DQPSK modulation format, it has been shown that single-channel impairments are dominant over multichannel impairments [3]. Hence, we conjecture that the degradation in the Q factor of the DCF-aided transmission results from SPM-induced impairments such as nonlinear phase noise as previously observed in [27].

The Q factors of all 44 wavelengths after 7100 km (25 circulations through the recirculating loop) are shown in Fig. 17. Both the in-phase and quadrature channels are depicted.

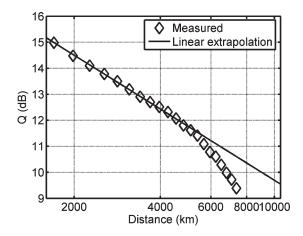


Fig. 16. DCF-aided transmission: Q factor of a typical channel as a function of transmission distance.

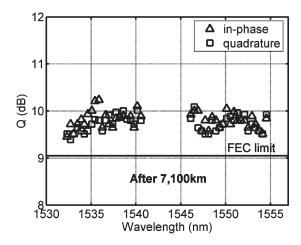


Fig. 17. In-phase and quadrature Q factors for the DCF-based configuration.

All Q factors are above a forward error correction (FEC) threshold of a concatenated code with a 7% redundancy, for which a Q factor of 9 dB corresponds to a performance after FEC with a BER of  $< 1 \times 10^{-12}$  [28].

# B. OPC-Aided DQPSK Transmission

The experimental setup of the OPC-aided configuration is depicted in Fig. 18. In the OPC-based configuration, the inline DCF modules for chromatic dispersion compensation are removed, and an OPC-unit is inserted in the middle of the transmission link for compensation of dispersion and nonlinear impairments [29]. Apart from that, the components (transmitter, receiver, SSMF, amplifiers, etc.) are the same as in the DCF-based configuration discussed in the previous section.

The Raman gain in the OPC experiment is the same as in the DCF-based experiment. It has been shown in [17] that the compensation of the Kerr effect is optimal when a power-symmetric transmission link is realized. The average net Raman gain in this experiment is -10.3 dB. This is significantly below the gain required for power symmetry. The input power per channel into the SSMF is -2.9 dBm (13.5-dBm total input power). In this wavelength division multiplexing (WDM) OPC transmission experiment, simultaneous conversion of multiple WDM channels is required. Hence, instead of the FWM-based

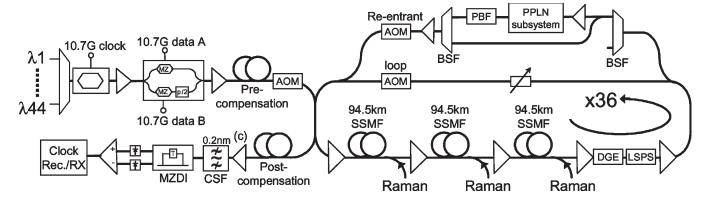


Fig. 18. Experimental setup of the OPC-aided transmission link.

OPC we described in Section II, a periodically poled lithium niobate (PPLN) is used for OPC. The advantages of the PPLN for OPC are that the PPLN is immune to  $\chi^3$ -based optical nonlinear interactions such as SPM and XPM, converts up to 70 nm with a single device, and has low crosstalk and low additive noise [30], [31].

The signals are optically phase conjugated in the middle of the transmission link. In the reentrant recirculating loop structure, this is realized after half the recirculations (18 $\times$ ) by opening the loop acousto-optic modulator (AOM) and closing the reentrant AOM for one recirculation. Hereby, the signals are fed through the PPLN subsystem. In this subsystem, the 22 channels from 1532.3 to 1540.6 nm that were used to balance the signal in the amplifiers are removed using a band selection filter (BSF). Subsequently, the remaining 22 channels from 1546.1 to 1554.5 nm are phase conjugated in the PPLN subsystem. At the output of the PPLN subsystem, the wavelengths of the channels range from 1532.3 to 1540.6 nm. Finally, the input channels of the PPLN subsystem (ranging from 1546.1 to 1554.5 nm) are recombined with the spectrally inverted channels to balance the signal propagating through another 18 circulations in the recirculating loop.

The phase conjugation inside the PPLN waveguide is realized by two quasi-phase-matched  $\chi^2$  processes [30], [31]. A second harmonic from a pump is generated through a second-harmonic generation. Simultaneously, the second harmonic interacts with the incoming data signal through difference frequency mixing. Quasi-phase matching is realized inside the PPLN by reversing the sign of the nonlinear susceptibility every 16.3  $\mu$ m. At the output of the PPLN, the phase-conjugated signals are present mirrored with respect to the pump. Fig. 19 depicts the optical spectrum (res. bw. = 0.01 nm) after the PPLN subsystem.

Similar to the DCF-aided transmission experiment, an LSPS is used to reduce the statistical correlation of loop-induced polarization effects. Hence, at the input of the PPLN subsystem, the channel polarizations are randomized by the transmission fiber and the polarization independence for the PPLN is required. A polarization-independent PPLN subsystem is realized by using both directions of propagation in a single PPLN [32].

The layout of the polarization-independent PPLN subsystem is depicted in Fig. 20. A polarization beam splitter (PBS) splits the incoming signal into transverse electric (TE) and

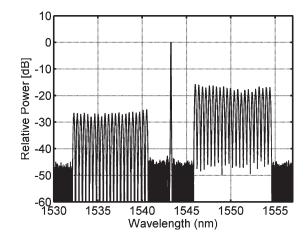


Fig. 19. Optical spectrum at the output of the OPC subsystem after 18 circulations in the loop (res. bw. = 0.01 nm).

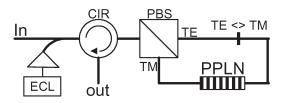


Fig. 20. Layout of the polarization-independent PPLN subsystem.

transverse magnetic (TM) modes. The TM mode is phase conjugated in the PPLN and subsequently rotated to the TE mode by a 90° splice. The TE mode is first converted from the TE mode to the TM mode and then phase conjugated. Both counterpropagating modes are recombined at the PBS to effectively create polarization-independent phase conjugation. The measured polarization-dependent loss of the PPLN subsystem is less than 0.4 dB. A CW pump signal is generated at 1543.4 nm using an external cavity laser (ECL) and amplified to 388 mW. In order to pump the PPLN in both directions, the pump is split in a 50%–50% ratio at the PBS. The power of the signal is approximately 10 mW per channel at the PBS. The conversion efficiency of the PPLN with these powers is -9.2 dB. The PPLN waveguide used for OPC operates at 202.3 °C in order to reduce the photorefractive effect.

Fig. 21 shows the measured Q factor of a typical channel (in phase, 1535.1 nm) as a function of the transmission distance.

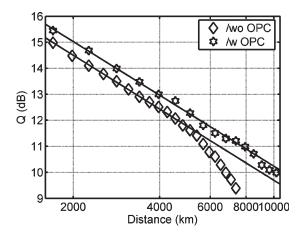


Fig. 21. Q factor of a typical channel as a function of transmission distance, with and without OPC.

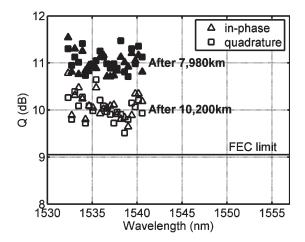


Fig. 22. In-phase and quadrature Q factors for the midlink OPC-based configuration.

As a reference, the typical channel (in phase, 1550.7 nm) of the DCF-aided transmission experiment is also depicted (same curve as in Fig. 16).

In the OPC-based configuration, the Q factor is about 0.5-dB higher than that of the DCF-based transmission system. After 5000 km, where the DCF-aided transmission is strongly impaired by nonlinear effects, the performance of the OPC-aided transmission is virtually unaffected.

Fig. 22 shows the Q factors for the OPC-aided transmission after 7980 km (28 circulations through the recirculating loop) and after 10 200 km (36 circulations through the recirculating loop). Both the in-phase and quadrature channels are depicted. Note that the Q factors are evaluated for the 22 phaseconjugated channels. A second OPC-unit would be required to conjugate and to enable measuring the other 22 channels. The worst Q factors are 10.7 and 9.5 dB after the 7940-km and the 10200-km transmission, respectively. Both in-phase and quadrature components show similar performance. For the 7940-km transmission experiment, a 1.5-dB margin is measured with respect to the FEC threshold. When the transmission distance is increased to 10200 km, the measured Q factors of all channels are still well above the FEC limit. In the DCF-based configuration (Fig. 17), similar Q factors were obtained after 7100 km. Hence, OPC enabled a distance increase of 44%. Using CW tones, an average OSNR of 11.4 dB was measured after 10 200-km transmission. This OSNR is lower than initially reported in [29] due to more accurate measurements of the OSNR. The OSNR for the DCF-based configuration after 7100-km transmission is 12.1 dB. Given the average Q factor after transmission (10 and 9.7 dB for the OPC-aided and the DCF-aided setup, respectively), this coincides with an OSNR penalty compared to back-to-back of 1.1 dB for the OPC-based configuration and 2.0 dB for the DCF-based configuration.

The variance in Q factor per channel for the OPC-aided transmission after the 10 200-km transmission (Fig. 22) is larger than in the DCF-aided transmission after 7100-km transmission (Fig. 17). This results partly from the fact that the Q factors of the OPC-aided transmission are measured after 36 circulations through the loop instead of the 25 circulations in the DCF case. Also, in the OPC configuration, the 22 measured channels propagated the first half of the link in the upper part of the C band (1546.1 to 1554.5 nm) and, after OPC, the second half of the link in the lower part of the C band (1532.3 to 1540.6 nm), which complicates the spectral flattening with a DGE in the OPC-based configuration.

#### IV. CONCLUSION

We showed in a proof-of-principle experiment that an OPC can be employed to compensate for SPM-induced nonlinear phase noise in phase-shift-keyed transmission systems. In both the simulation and the experiment, a Q-factor improvement of over 4 dB is obtained for a low transmitted OSNR when OPC is employed. Also, the performance of DWDM 21.4-Gb/s DQPSK is compared for DCF- and OPC-aided transmission systems over a long haul distance. We show that the performance of the DCF-based scheme is impaired by SPM-induced nonlinear impairments such as nonlinear phase noise, whereas the performance of the OPC-aided transmission is virtually unaffected. This indicates that nonlinear phase noise is effectively compensated in a midlink OPC-based configuration.

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