Theory of filtered type-II parametric down-conversion in the continuous-variable domain: Quantifying the impacts of filtering

Andreas Christ*

Applied Physics and CeOPP, University of Paderborn, Warburger Straße 100, D-33098 Paderborn, Germany

Cosmo Lupo

Research Laboratory of Electronics, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA

Matthias Reichelt and Torsten Meier

Department of Physics and CeOPP, University of Paderborn, Warburger Straße 100, D-33098 Paderborn, Germany

Christine Silberhorn

Applied Physics and CeOPP, University of Paderborn, Warburger Straβe 100, D-33098 Paderborn, Germany (Received 12 March 2014; published 14 August 2014)

Parametric down-conversion (PDC) forms one of the basic building blocks for quantum optical experiments. However, the intrinsic multimode spectral-temporal structure of pulsed PDC often poses a severe hindrance for the direct implementation of the heralding of pure single-photon states or, for example, continuous-variable entanglement distillation experiments. To get rid of multimode effects narrowband frequency filtering is frequently applied to achieve a single-mode behavior. A rigorous theoretical description to accurately describe the effects of filtering on PDC, however, is still missing. To date, the theoretical models of filtered PDC are rooted in the discrete-variable domain and only account for filtering in the low-gain regime, where only a few photon pairs are emitted at any single point in time. In this paper we extend these theoretical descriptions and put forward a simple model, which is able to accurately describe the effects of filtering on PDC in the continuous-variable domain. This developed straightforward theoretical framework enables us to accurately quantify the tradeoff between suppression of higher-order modes, reduced purity, and lowered Einstein–Podolsky–Rosen entanglement, when narrowband filters are applied to multimode type-II PDC.

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I. INTRODUCTION

Since the landmark experiment by Hong-Ou-Mandel in 1987 [1] parametric down-conversion (PDC) has become one of the most widely used basic building blocks for quantum optical experiments. For example, it serves as a source of entangled photon pairs [2–6], enables the heralding of single-photon states [7–11] and the generation of Einstein–Podolsky–Rosen (EPR) entanglement [12,13].

However, PDC suffers from one major drawback. Standard PDC sources do not emit their quantum states in a single, well defined, optical mode, but into a multitude of different spectral-temporal and spatial modes simultaneously. While this can be beneficial for multiplexing purposes [14] or multimode detection schemes [15], to date, most experiments require single-mode quantum states. To get rid of multiple spatial modes in the generated PDC state waveguides can be used to achieve an emission into a single well-defined spatial mode [16,17].

The spectral multimode structure of PDC has been studied extensively and there exist two main approaches to achieve a spectral single-mode emission from PDC *source engineering* and *filtering: source engineering* refers to a pulsed PDC process where the parameters are specifically adjusted to ensure an emission of the photon pairs directly into a single optical mode [7,12,18–22]. However, this approach only

works at specific wavelength ranges within certain materials. *Filtering*, on the other hand, relies on placing narrowband spectral filters after the PDC process to effectively suppress the multimode structure. It is easy, robust, and straightforward to implement, at the cost of introducing losses. To date, filtered PDC sources have been used extensively for the heralding of pure single photons and the generation of entangled photon pairs [23–28].

The effects of filters for photon-subtraction experiments have already been investigated [29]. However, a rigorous theoretical description of filtered PDC is still missing. While several theoretical models rooted in the discrete-variable domain exist [23–26], these are concerned with the low-gain regime. They are sufficient for the heralding of single photons and entangled photon-pair generation but are not adequate for quantum optics experiments in the continuous-variable domain such as entanglement distillation protocols [13,30,31].

Concerning PDC in the high-gain regime or continuousvariable domain, i.e., regarding PDC as a source of squeezed states, several theoretical descriptions already exist [32–40]. These, however, do not consider the effects of filters on the generated squeezing amplitudes, the multimode character, and the purity of the filtered state.

In principle, the impacts of filtering on PDC are quite intuitive. By reshaping the spectrum of the generated photons, the filter operation suppresses higher-order modes at the cost of reduced squeezing values and a lowered purity. In this paper we perform a formal analysis of this intuition and, as a first step, revisit the mathematical framework of PDC in

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^{*}andreas.christ@uni-paderborn.de

the continuous-variable domain and the theoretical description of filter operations. By using these we develop a simple and straightforward theoretical model of filtered PDC in the continuous-variable domain, which enables us to accurately quantify impacts of filtering. Finally, we use this model to analyze the tradeoff between the suppression of higher-order modes, the remaining EPR squeezing, and purity. To simplify the discussion we restrict ourselves to type-II PDC processes.

II. OVERVIEW

Figure 1 sketches the overall filtering process: We start with a standard type-II PDC source, which emits a multitude of finitely squeezed EPR states in broadband spectral modes. We then apply narrowband spectral filtering in both the signal and the idler arm to get rid of the intrinsic multimode spectral structure.

This paper is structured into four main parts: In Sec. III we review the process of type-II PDC and discuss the properties of the generated quantum states. In Sec. IV we mathematically describe the filtering process and discuss its impact on type-II PDC. The main result of our research is presented in Sec. V. In this section we show that a basis transformation from the original broadband spectral modes (Schmidt modes) to a new mode set adapted to the applied filtering (effective Schmidt modes) enables us to accurately gauge the effects of the filtering on the PDC state; to be precise the suppression of higher-order modes, the remaining EPR squeezing, and the lowered purity. To perform this basis optimization we introduce two approaches: a simple and straightforward model useful for designing filtered PDC sources, and a complicated rigorous model which verifies that our straightforward approach is indeed optimal. Finally, in Sec. VI we use our developed framework to accurately quantify the tradeoff between higher-order mode suppression, remaining EPR squeezing, and the purity of the resulting state.

III. TYPE-II PDC

During the process of type-II PDC a photon of an incoming pump beam spontaneously decays inside a crystal featuring a $\chi^{(2)}$ nonlinearity into a photon pair usually labeled signal and idler, where signal and idler exhibit orthogonal polarizations. In the scope of this paper we consider the strong-pumping



Idler

Nonlinea crystal

Multimode type-II PDC

Pump

Narrowband

spectal filters

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regime, where several photon pairs are created simultaneously, and the emitted photon pairs form EPR states.

Mathematically, the generated type-II PDC state can be described as [32,33]

$$\begin{split} |\psi\rangle_{\rm PDC} &= \exp\left[-\frac{i}{\hbar}(B\iint d\omega_s d\omega_i f(\omega_s,\omega_i) \\ &\times \hat{a}^{\dagger}(\omega_s)\hat{b}^{\dagger}(\omega_i) + {\rm H.c.})\right] |0\rangle. \end{split}$$
(1)

Here $\hat{a}^{\dagger}(\omega_s)$, the photon creation operator, describes the generation of a signal photon at frequency ω_s and $\hat{b}^{\dagger}(\omega_i)$ describes the generation of an idler photon at frequency ω_i . The function $f(\omega_s, \omega_i)$ is the joint-spectral amplitude (JSA) of the emitted photon pairs, which in general exhibits correlations between ω_s and ω_i . *B* collects all constants and is often referred to as the optical gain which describes the efficiency of the process.

Equation (1) does not directly reveal the quantum properties of the emitted type-II PDC state. In order to obtain these we have to perform a singular-value decomposition or *Schmidt decomposition* [41–44] of the JSA, which allows us to express the state in terms of pulsed pairs of uncorrelated states with specific broadband spectra. To be precise, we decompose the exponent in Eq. (1) into a sum of positive amplitudes r_k and broadband-mode functions $\psi_k(\omega_s)$ and $\phi_k(\omega_i)$ [32,33]:

$$-\frac{i}{\hbar}Bf(\omega_s,\omega_i) = \sum_k r_k \psi_k^*(\omega_s) \phi_k^*(\omega_i),$$

$$-\frac{i}{\hbar}B^*f^*(\omega_s,\omega_i) = -\sum_k r_k \psi_k(\omega_s) \phi_k(\omega_i).$$
(2)

Each pair of *Schmidt modes* $\psi_k(\omega_s)$ and $\phi_k(\omega_s)$ defines a spectral distribution which, weighted by their individual amplitudes r_k , yields the JSA. We visualized this connection in Fig. 2 for a PDC state consisting of three optical modes with equal weights r_k . The individual elements of the sum, which create the JSA, are depicted in Fig. 2(a) and the respective mode functions are depicted in Fig. 2(b). In general type-II PDC experiments, the JSA is very close to a two-dimensional Gaussian and the resulting *Schmidt modes* are extremely similar to the Hermite functions [45].



FIG. 2. (Color online) Visualization of a *Schmidt decomposition*: Each pair of *Schmidt modes* of signal and idler, depicted in panel (b), forms a spectral distribution which, combined and weighted by their r_k values, forms the JSA in panel (a).

By using these *Schmidt modes* we are able to define the broadband single-photon operators [46]

$$\hat{A}_{k} = \int d\omega_{s} \psi_{k}(\omega_{s}) \hat{a}(\omega_{s}),$$

$$\hat{B}_{k} = \int d\omega_{i} \phi_{k}(\omega_{i}) \hat{b}(\omega_{i}),$$
(3)

that is, we introduce the single-photon creation operators \hat{A}_{k}^{\dagger} and \hat{B}_{k}^{\dagger} , which create photons not at a single-frequency ω , but in a broadband frequency range. By using Eqs. (2) and (3) we are able to rewrite the PDC state from Eq. (1) as [32]

$$\begin{split} |\psi\rangle_{\text{PDC}} &= \exp\left[\sum_{k} r_{k} \hat{A}_{k}^{\dagger} \hat{B}_{k}^{\dagger} - \text{H.c.}\right] |0\rangle \\ &= \bigotimes_{k} \exp[r_{k} \hat{A}_{k}^{\dagger} \hat{B}_{k}^{\dagger} - \text{H.c.}] |0\rangle \\ &= \bigotimes_{k} \hat{S}_{AB} \left(-r_{k}\right) |0\rangle. \end{split}$$
(4)

From Eq. (4) it is evident that type-II PDC emits a multitude of finitely squeezed EPR states $\bigotimes_k \hat{S}_{AB}(-r_k)|0\rangle$ [47]. The EPR squeezing is, however, not created at single frequencies but in the broadband modes \hat{A}_k and \hat{B}_k . From a physical point of view this means that type-II PDC creates a multitude of optical pulses which contain finitely squeezed EPR states, as already schematically depicted in Fig. 1.

This property of type-II PDC is only visible when using the obtained *Schmidt modes*, and the individual optical modes are, solely in this basis, completely independent from each other. These broadband modes are also referred to as the *Schmidt basis* or *eigenbasis* of the system. In terms of dB the EPR squeezing in mode k is defined as [32]

squeezing [dB] =
$$-10 \log_{10}(e^{-2r_k})$$
. (5)

In the Heisenberg picture we are able to write the type-II PDC process as [33]

$$\hat{a}^{(\text{out})}(\omega) = \int d\omega' U_a(\omega, \omega') \hat{a}^{(\text{in})}(\omega') + V_a(\omega, \omega') \hat{b}^{(\text{in})\dagger}(\omega'),$$
$$\hat{b}^{(\text{out})}(\omega) = \int d\omega' U_b(\omega, \omega') \hat{b}^{(\text{in})}(\omega') + V_b(\omega, \omega') \hat{a}^{(\text{in})\dagger}(\omega').$$
(6)

Here we added the labels (in) and (out) to the single-photon creation and destruction operators to stress that the modes on the left, labeled (out), are the modes after the PDC process has taken place. They are given as a function of the modes (in) which label the modes before the PDC process. The $U_{a,b}(\omega,\omega')$ and $V_{a,b}(\omega,\omega')$ matrices contain the process properties. They are of the form [33]

$$U_{a}(\omega,\omega') = \sum_{k} \psi_{k}^{*}(\omega) \cosh(r_{k}) \psi_{k}(\omega'),$$
$$V_{a}(\omega,\omega') = \sum_{k} \psi_{k}^{*}(\omega) \sinh(r_{k}) \phi_{k}^{*}(\omega'),$$

$$U_{b}(\omega,\omega') = \sum_{k} \phi_{k}^{*}(\omega) \cosh(r_{k}) \phi_{k}(\omega'),$$
$$V_{b}(\omega,\omega') = \sum_{k} \phi_{k}^{*}(\omega) \sinh(r_{k}) \psi_{k}^{*}(\omega').$$
(7)

We are able to cast Eq. (6) into the broadband-mode formalism by using the broadband single-photon creation and destruction operators defined in Eq. (3). We arrive at

$$\hat{A}_{k}^{(\text{out})} = \cosh(r_{k})\,\hat{A}_{k}^{(\text{in})} + \sinh(r_{k})\,\hat{B}_{k}^{(\text{in})\dagger},
\hat{B}_{k}^{(\text{out})} = \cosh(r_{k})\,\hat{B}_{k}^{(\text{in})} + \sinh(r_{k})\,\hat{A}_{k}^{(\text{in})\dagger}.$$
(8)

Again using the *Schmidt modes* directly reveals the EPR-squeezing properties of the process. From a physical point of view the output modes $\hat{A}_k^{(\text{out})}$ and $\hat{B}_k^{(\text{out})}$ are the modes in which we observe or measure our quantum state. For example, using the modes $\hat{A}_k^{(\text{out})}$ and $\hat{B}_k^{(\text{out})}$ for homodyne detection would reveal the EPR entanglement, whereas a measurement in a different basis hides the entanglement.

For our purposes, it is very useful to consider the covariance matrix of the generated type-II PDC states by using the obtained *Schmidt modes* [14]. In order to calculate the individual covariance matrix elements we first define the broadband quadrature operators for the individual optical modes:

$$\hat{X}_{a}^{k} = \frac{1}{\sqrt{2}} (\hat{A}_{k} + \hat{A}_{k}^{\dagger}), \quad \hat{X}_{b}^{k} = \frac{1}{\sqrt{2}} (\hat{B}_{k} + \hat{B}_{k}^{\dagger}),$$

$$\hat{Y}_{a}^{k} = \frac{1}{\sqrt{2}i} (\hat{A}_{k} - \hat{A}_{k}^{\dagger}), \quad \hat{Y}_{b}^{k} = \frac{1}{\sqrt{2}i} (\hat{B}_{k} - \hat{B}_{k}^{\dagger}).$$
(9)

Here, the label k depicts the number of the individual optical mode. For one EPR state we required \hat{X}_a^k and \hat{Y}_a^k to describe the signal mode and \hat{X}_b^k and \hat{Y}_b^k for the idler mode. For a PDC state consisting of N EPR states we can group the 4 × N quadrature operators in the vector

$$\vec{R} = \left(\hat{X}_{a}^{1}, \hat{Y}_{a}^{1}, \hat{X}_{b}^{1}, \hat{Y}_{b}^{1}, \dots, \hat{X}_{a}^{N}, \hat{Y}_{a}^{N}, \hat{X}_{b}^{N}, \hat{Y}_{b}^{N}\right),$$
(10)

that is, the first four elements of the vector consist of the four quadrature operators for the first optical mode defined by \hat{A}_1 and \hat{B}_1 , followed by the four quadrature operators for the second mode, and so forth until mode *N*. In terms of \vec{R} the individual covariance elements σ_{ij} are defined as [48]

$$\sigma_{ij} = \frac{1}{2} \langle \hat{R}_i \hat{R}_j + \hat{R}_j \hat{R}_i \rangle - \langle \hat{R}_i \rangle \langle \hat{R}_j \rangle.$$
(11)

The covariance matrix σ for a single EPR state with an EPR-squeezing amplitude *r* is given by [48]

$$\sigma = \frac{1}{2} \begin{pmatrix} \cosh(2r) & 0 & \sinh(2r) & 0 \\ 0 & \cosh(2r) & 0 & -\sinh(2r) \\ \sinh(2r) & 0 & \cosh(2r) & 0 \\ 0 & -\sinh(2r) & 0 & \cosh(2r) \end{pmatrix}.$$
(12)

We visualized the covariance matrix of an EPR state containing 3 dB of EPR squeezing in Fig. 3, where we plotted the absolute value of the individual elements. Characteristic for EPR entanglement are the side peaks from the $\sinh(r)$ terms.

In the following we are going to demonstrate the impact of filtering on the exemplary pulsed PDC state depicted in Fig. 4, which exhibits correlations in frequency and thus





FIG. 3. (Color online) Covariance matrix of an EPR state with 3 dB of squeezing. Plotted is the absolute value of the individual elements. The $\sinh(r)$ side peaks are characteristic for EPR entanglement.

several broadband modes. Here, Fig. 4(a) shows its respective JSA $f(\omega_s, \omega_i)$ and Fig. 4(b) shows the EPR squeezing in the first five modes. We adjusted the optical gain such that the first mode has an EPR squeezing of about 6 dB. Figure 4(c) depicts the first three signal and idler modes. Note that we explicitly choose a real-valued JSA distribution leading to real-valued *Schmidt modes*, which facilitate a straightforward visualization throughout the paper. The exact simulation parameters are given in Appendix C.

We plotted the corresponding covariance matrix of our exemplary type-II PDC state in Fig. 5. Here we restricted ourselves to a visualization of the first three optical modes and



FIG. 4. (Color online) PDC state used to demonstrate the individual steps of our protocol: (a) JSA $f(\omega_s, \omega_i)$, (b) First five EPR-squeezing values, (c) First three optical modes of the signal and idler beams.

Covariance matrix: PDC state



FIG. 5. (Color online) Covariance matrix of the unfiltered PDC state depicted in Fig. 4. This figures shows the absolute values of the individual elements for the first three optical modes. The submatrix for each mode is identical to a finitely squeezed EPR state.

again plotted the absolute values of the individual elements. Each submatrix is identical to a finitely squeezed EPR state. Note that all σ_{ij} elements between different optical modes are zero, indicating that the individual modes are completely independent from each other.

IV. FILTERING

In the context of photon-pair generation and the heralding of pure single photons, the effects of filters on type-II PDC have already been studied extensively [23–28]. In the scope of this paper we extend this analysis to the continuous-variable domain and the corresponding EPR-state generation via type-II PDC.

The filtering has two main effects on the PDC state: First, it introduces losses, i.e., we couple vacuum into the system and degrade its purity and the EPR entanglement. Second, the filtering reshapes the frequency spectra, destroying the original mode structure.

To mathematically describe this process, we model a spectral filter acting upon an optical field as a frequency-dependent loss channel or beam splitter [23]:

$$\hat{a}^{(\text{out})}(\omega) = T(\omega)\,\hat{a}^{(\text{in})}(\omega) + R(\omega)\,\hat{v}(\omega)\,,\qquad(13)$$

where $|T(\omega)|^2$ is the transmission and $|R(\omega)|^2$ the reflection probability at frequency ω . They obey $|T(\omega)|^2 + |R(\omega)|^2 = 1$. The operator $\hat{v}(\omega)$ describes the vacuum introduced into the system.

In the Schrödinger picture—or photon-number representation—it is, in general, very difficult to describe filtered PDC states, since we have to consider a frequency-dependent loss and further have to take into account all possible combinations of photons being reflected and transmitted at the filter. In our description we work in the continuous-variable domain and simply apply Eq. (13) to Eq. (6) to obtain the filtered PDC state in the Heisenberg picture. In this way we avoid having to evaluate the exact photon-number properties and arrive at

$$\hat{a}^{(\text{out})}(\omega) = T_{a}(\omega) \left[\int d\omega' U_{a}(\omega, \omega') \hat{a}^{(\text{in})}(\omega') + V_{a}(\omega, \omega') \hat{b}^{(\text{in})\dagger}(\omega') \right] + R_{a}(\omega) \hat{v}_{a}(\omega),$$

$$\hat{b}^{(\text{out})}(\omega) = T_{b}(\omega) \left[\int d\omega' U_{b}(\omega, \omega') \hat{b}^{(\text{in})}(\omega') + V_{b}(\omega, \omega') \hat{a}^{(\text{in})\dagger}(\omega') \right] + R_{b}(\omega) \hat{v}_{b}(\omega). \quad (14)$$

Here, $T_a(\omega)$ and $R_a(\omega)$ describe the action of a filter in the signal arm, $T_b(\omega)$ and $R_b(\omega)$ describe the action of the filter in the idler arm, and \hat{v}_a and \hat{v}_b label the added vacuum contributions in the signal and idler arms, respectively.

Similar to the previous section we cast Eq. (14) into the broadband-mode picture. However, this is more difficult than in the previous section, since we have to consider the effects of frequency-dependent loss on the PDC state.

From a physical point of view we can think of this filtering as a reshaping of the individual optical modes, where effectively each optical mode is multiplied by the filter function. The corresponding destruction of the orthogonality between different modes leads to intermodal couplings, and cross correlations appear. From a mathematical point of view, filtered PDC states are a special case of the well-known fact that mixed states, in general, do not have a Schmidt decomposition [49–51]. It is, in general, not possible any more to find a *Schmidt basis* or *eigenbasis*; this means an orthogonal basis for the system, where all optical modes are independent from each other. A detailed mathematical discussion of this effect is given in Appendix D, where we prove that it is, for all practical purposes, impossible to find a new *Schmidt basis* for the system.

We consequently introduce the two new broadband-mode sets, to be able to express the state into a new arbitrary basis, which we label

$$\hat{C}_{k} = \int d\omega f_{k}(\omega) \,\hat{a}(\omega) \,, \tag{15}$$

$$\hat{D}_{k} = \int d\omega g_{k}(\omega) \,\hat{b}(\omega) \,. \tag{16}$$

To be precise, the new mode sets $\{f_k(\omega)\}$ and $\{g_k(\omega)\}$ each have to form a complete and orthogonal basis set. By using these new basis sets we can express the filtered type-II PDC state as

$$\hat{C}_{k}^{(\text{out})} = \int d\omega' U_{a}^{k}(\omega') \hat{a}^{(\text{in})}(\omega') + \int d\omega' V_{a}^{k}(\omega') \hat{b}^{(\text{in})\dagger}(\omega') + \int d\omega R_{a}^{k}(\omega) \hat{v}_{a}(\omega) ,$$
$$\hat{D}_{k}^{(\text{out})} = \int d\omega' U_{b}^{k}(\omega') \hat{b}^{(\text{in})}(\omega') + \int d\omega' V_{b}^{k}(\omega') \hat{a}^{(\text{in})\dagger}(\omega') + \int d\omega R_{b}^{k}(\omega) \hat{v}_{b}(\omega) , \qquad (17)$$



FIG. 6. (Color online) A rectangular filter in the signal and idler arms cuts the JSA and lets only the central frequencies pass.

with $U_a^k(\omega')$, $U_b^k(\omega')$, $V_a^k(\omega')$, $V_b^k(\omega')$, $R_a^k(\omega)$, and $R_b^k(\omega)$ defined as

$$U_{a}^{k}(\omega') = \int d\omega f_{k}(\omega) T_{a}(\omega) U_{a}(\omega, \omega'),$$

$$U_{b}^{k}(\omega') = \int d\omega g_{k}(\omega) T_{b}(\omega) U_{b}(\omega, \omega'),$$

$$V_{a}^{k}(\omega') = \int d\omega f_{k}(\omega) T_{a}(\omega) V_{a}(\omega, \omega'),$$

$$V_{b}^{k}(\omega') = \int d\omega g_{k}(\omega) T_{b}(\omega) V_{b}(\omega, \omega'),$$

$$R_{a}^{k}(\omega) = f_{k}(\omega) R_{a}(\omega), \quad R_{b}^{k}(\omega) = g_{k}(\omega) R_{b}(\omega).$$
(18)

These formulas enable us to study the properties of the filtered type-II PDC states into a variety of different broadband-mode sets. From a physical point of view the new basis sets $\hat{C}_k^{(\text{out})}$ and $\hat{D}_k^{(\text{out})}$ define the modes in which we *measure* the state. From a mathematical point of view we simply performed a basis transformation, with the aim to find a new basis adapted to the filtered state.

In the scope of this paper we restrict ourselves to identical rectangular filter functions in the signal and idler, which enables us to precisely cut different parts of the spectrum from the PDC state. (Gaussian filters were tested as well and yielded similar results.) An exemplary rectangular filter in the signal and idler arm with respect to the JSA, introduced in Fig. 4, is shown in Fig. 6. The filter cuts the JSA, and only the central frequencies of the PDC photons are able to pass undisturbed.

The filter with respect to the signal modes of the original PDC state is shown in Fig. 7(a). Figure 7(b) presents the individual signal modes multiplied by the filter function.

These two figures already show that the impact of filtering on a PDC state is very different from standard losses. When an EPR state undergoes normal losses, vacuum is added to the system and EPR squeezing is lost, but the mode structure remains unchanged. In the case of filtering, vacuum is added



FIG. 7. (Color online) (a) Signal modes with respect to the applied rectangular filter in Fig. 6. (b) Individual signal mode functions multiplied by the filter.



FIG. 8. (Color online) The EPR squeezing in the first five modes after the filtering has been applied. The EPR squeezing in all modes is significantly reduced.

as well, but the spectrally dependent losses also significantly alter the spectral structure of the PDC state.

We visualized the effect of the filtering on the EPRsqueezing amplitudes by using the original *Schmidt basis* in Fig. 8. In comparison to the unfiltered EPR squeezing shown in Fig. 4(b), all amplitudes are significantly reduced, which represents the losses introduced by the filters. (The formulas to calculate the filtered EPR squeezing are given in Appendix B.)

The impact of the filtering on the mode structure and the vacuum added to the system are also directly visible in the covariance matrix representation depicted in Fig. 9. Again we plotted the absolute value of the individual elements and use the broadband quadratures from Eq. (9). The exact formula for the full covariance matrix of a filtered PDC state is given in Appendix A.

Covariance matrix: PDC state filtered

FIG. 9. (Color online) Filtering a PDC state introduces losses, which move the state towards vacuum and further leads to correlations between the different optical modes.

In comparison with the unfiltered PDC state depicted in Fig. 5, the individual amplitudes are significantly decreased, with the central peaks moving toward the vacuum amplitude of 0.5, i.e., the losses introduced by the filtering shifts the state towards vacuum. In contrast to standard losses, however, additional cross correlations between different optical modes appear, which are clearly visible between the first and third mode. These are a direct result of the reshaping of the spectral properties by the filter function.

Note that, in this specific scenario, there are no correlations with respect to the second mode, because the first and third mode are symmetric and the second mode is antisymmetric with respect to the origin. This property is not affected by the applied filtering, and consequently no couplings between these modes occur. This is, however, only true for this specific scenario. Filters which are not centered perfectly will introduce cross correlations between all modes. Also note that the applied filter function leads to higher losses in the second mode than in the third mode.

V. BASIS OPTIMIZATION AFTER FILTERING

From the discussion in Sec. IV it seems that the filtering only has a detrimental impact on the PDC state. It introduces additional losses and correlations between the different modes. However, this filtering process enables us to create singlemode quantum states featuring EPR entanglement. In Figs. 8 and 9 this effect is not visible due to the fact that we are still regarding the state in the original broadband mode or *Schmidt basis*, while the filtering reshaped and restructured the spectral properties of the PDC state.

Similarly to the *Schmidt basis*, which reveals the EPR squeezing in the original EPR state, we now require a new *effective Schmidt basis* to reveal the suppression of higherorder modes and the remaining EPR entanglement for the filtered state, i.e., we have to move into a new reference frame which unveils the EPR-squeezing properties of the remaining photons and minimizes the correlations between the different modes. From a physical point of view this means that we observe or measure the state in a different set of modes, which is adapted to the distortions introduced by the filters.

We developed two different approaches to obtain this *effective Schmidt basis* via a basis optimization. In Sec. V A we present a simple and straightforward method to find the optimal modes labeled *SVD basis optimization*. This approach, however, is based on heuristic arguments. To verify that it indeed yields optimal results we present a rigorous optimization method labeled *Global basis optimization* in Sec. V B. This approach is extremely complicated and computationally challenging but confirms that our straightforward model from Sec. V A indeed delivers optimal results.

A. Singular-value decomposition basis optimization

Our goal is to find a simple and straightforward method to obtain a new *effective Schmidt basis* which optimally describes the optical modes of the PDC state after the filtering operations. In Sec. III we elaborated that the original *Schmidt basis* is obtained by performing a singular-value decomposition (SVD) of the JSA. Intuitively, by simply decomposing the



FIG. 10. (Color online) New basis set (a) obtained via the *SVD* basis optimization and (b) the global basis optimization routine. (c) Comparison of the obtained EPR-squeezing values. Both approaches deliver virtually identical results.

JSA multiplied by the applied filter functions, we should obtain mode shapes adapted to the filtering process. To be precise we perform the following SVD [compare Eq. (2)]

$$T_{a}(\omega_{s}) T_{b}(\omega_{i}) \left[-\frac{i}{\hbar} Bf(\omega_{s},\omega_{i}) \right] = \sum_{k} r_{k}' \psi_{k}'^{*}(\omega_{s}) \phi_{k}'^{*}(\omega_{i}),$$
(19)

and we use the obtained mode functions $\psi'_k(\omega_s)$ and $\phi'_k(\omega_i)$ to describe the filtered PDC state. Effectively, the only difference to the standard decomposition is the additional multiplication of the JSA by the filter functions, which renders this approach extremely straightforward.

The obtained signal modes, for the considered scenario, are depicted in Fig. 10(a). As expected they are much narrower than the original modes and fully located inside the filter bandwidth.

While there is no direct mathematical proof that this approach is optimal, it intuitively makes sense and indeed delivers very good results, as can be seen in Fig. 10(c). In comparison to using the original basis to measure EPR squeezing, as depicted in Fig. 8, by using the optimized basis the main part of the EPR squeezing is contained in the very first optical mode, i.e., filtering indeed effectively suppressed higher-order modes.

The covariance matrix representation of the filtered PDC state in the optimized basis set is given in Fig. 11. In comparison to the covariance matrix of the filtered PDC state in the original basis depicted in Fig. 9 it shows that using an optimized basis enables us to suppress cross correlations between different modes and, most importantly, it reveals that filtering moves a multimode PDC state towards a single-mode operation.

In total this *SVD basis optimization* routine reveals that it is indeed possible to filter a multimode PDC state to suppress all but one optical mode, given that we use the correct basis representation of the state.

Covariance matrix: PDC state filtered SVD optimization



FIG. 11. (Color online) Covariance matrix of the filtered PDC state from Fig. 9 using the *SVD basis optimization*. Performing this basis optimization reveals that filtering effectively suppresses higher-order frequency modes.

B. Global basis optimization

Although the intuitive approach presented in Sec. VA delivers very good results, it is not clear if it is indeed optimal. To investigate this we developed a second model optimizing the EPR-squeezing values over all possible basis sets.

Our objective is to find a new orthonormal set of modes for the signal and idler beams which maximize the EPR squeezing after filtering. This should reveal its single-mode character and minimize cross correlations. For the demonstration purposes of this paper, we are able to simplify this procedure by only optimizing a single set of modes $\{\Phi_k\}$ for signal and idler. This is possible due to the fact that, in our exemplary state, the signal and idler modes are identical except for the fact that the odd modes of idler have an additional factor of -1 [see Fig. 4(c)]. Using only this one basis set to express and measure the filtered state means that the EPR squeezing in the even modes, where the original signal and idler modes are identical, is located in the $\hat{X}^k_{(-)}$ and $\hat{Y}^k_{(+)}$ quadratures. Correspondingly, due to the additional factor of -1 in the odd idler modes, here the $\hat{X}^k_{(+)}$ and $\hat{Y}^k_{(-)}$ quadratures show squeezing when we use the optimized basis set (see Appendix B). Elaboration on this effect is given in Ref. [52].

Effectively we have to find a new set of real-valued functions $\{\Phi_k\}$ which maximize the EPR squeezing after the filtering under the orthogonality constraint

$$\int d\omega \Phi_k(\omega) \Phi_{k'}(\omega) = \delta_{kk'}, \qquad (20)$$

where the Φ_k take on the role of f_k and g_k and thus determine the broadband operators Eqs. (15) and (16). In a discretized formulation we are able to rewrite the basis in terms of a matrix

$$A = (\Phi_1(\omega), \Phi_2(\omega), \dots), \qquad (21)$$

with orthonormal columns

$$\sum_{l} A_{lm} A_{ln} = \delta_{mn}.$$
 (22)

If the ω sampling in frequency space of the basis functions contains *l* points and only the first *k* modes are considered to be relevant, *A* is a $\mathbb{R}^{l \times k}$ matrix.

To find the optimal basis set A we use a *genetic algorithm* (GA) [53]. We choose this algorithm because we require a *global* maximum for the EPR squeezing.

The objective function $S_{(A,k')}$ maps the basis *A* onto an EPR-squeezing value of a certain mode k'. The complete method of how to find the EPR squeezing is lengthy but straightforward and is presented in Appendixes A and B. Conceptually, we have to optimize a function

$$S_{(A,k')}: \mathbb{R}^{lk} \to \mathbb{R}, \tag{23}$$

i.e., the algorithm looks for a maximum in a (kl) parameter space. Typical values in this paper are |k| = 5 modes represented on an |l| = 100 frequency grid, which are sufficient for our demonstration purposes. It has been thoroughly analyzed in [54] that the applied GA is well suited for problems of the current dimension. A convenient way to take into account the constraint Eq. (22) is to decompose A into its QR factorization rather than to use A itself. Because $Q \in \mathbb{R}^{lk}$ is orthonormal by construction, we can interpret it as basis set, yet being parametrized by the original components of A. This means that the components (A_{ij}) are used as genes in the GA; however, the columns of the Q matrix determine the basis set $\{\Phi_k\}$. $R \in \mathbb{R}^{kk}$ is an upper triangular matrix which makes it possible to successively construct the modes. First we manipulate only the first column in $\{A_{l1}\}$ and optimize the EPR squeezing yielding the mode $\Phi_1(\omega) \equiv (Q_{l1})$ and R_{11} . We run the algorithm until the increase in the EPR squeezing of the first mode converges to 0. Then, second, we keep $\{A_{l1}\}$ (fixing also R_{11}) and change the entries in the second column $\{A_{l2}\}$. This alters $\Phi_2(\omega) \equiv (Q_{l2})$ together with R_{12} and R_{22} and enables us to optimize the EPR squeezing of the second mode separately. We repeat this procedure with each column until all modes have been obtained. The advantage of the successive building strategy is that merely l parameters are changed in each step instead of lk. This results in the following scheme for the *k*[']th mode:

$$\{A_{lk'}\} \longrightarrow QR \longrightarrow \text{mode } \Phi_{k'}(\omega) \equiv (Q_{lk'}),$$

$$\Phi_{k'}(\omega) \longrightarrow \text{calc squeezing.}$$
(24)

We run the GA with a number of 2^8 individuals having $|\{A_{lk'}\}| = l$ genes for the *k*'th mode. We choose two parents from this set randomly and procreate by one-point crossover. A mutation can occur with a probability of 2%. The cycle is repeated a couple of thousand times until the change in squeezing is less than 10^{-4} .

The successive procedure is displayed in Fig. 12. Starting with random data, our algorithm is able to iteratively maximize the EPR squeezing in each mode individually.

It should be noted that the successive maximization of the squeezing of the modes is not the only practicable scheme. In principle, it is desirable to have a large squeezing value in the first mode. Considering only one mode, however, does





FIG. 12. (Color online) Evolution of five modes with maximum squeezing obtained by the genetic algorithm. The genes are randomly initialized and the modes 1–5 are found by evolution.

not provide any information of how much squeezing is left in the higher modes. Maximizing the EPR squeezing in the other modes as well enables us to accurately judge the amount of mode suppression introduced by the filtering.

For the filtered PDC state discussed in Sec. IV we depicted the resulting first three optimized modes in Fig. 10(b). The corresponding squeezing values for the individual modes are given by the red bars in Fig. 10(c).

The obtained mode shapes from the *global basis optimization*, depicted in Fig. 10(b), are virtually identical to the mode shapes obtained from our *SVD basis optimization* earlier. Similarly, the obtained EPR-squeezing distributions in Fig. 10(c) do not differ to any noticeable degree. This confirms that our simple and straightforward model from Sec. V A indeed delivers optimal results. The *SVD basis optimization* thus provides us in fact with the *effective Schmidt basis* for the filtered system. Some additional support on why the *SVD basis optimization* approach works so well is given in Appendix D.

VI. ANALYSIS

The *SVD basis optimization* developed in Sec. V A finally enables us to accurately quantify the impacts of filtering on PDC in the continuous-variable domain. For this purpose we used our exemplary PDC state from Fig. 4 and evaluated the remaining EPR squeezing in the first mode, the suppression of the higher-order modes, and the purity [48] of the filtered state for various filter bandwidths and initial EPR-squeezing values. Our results are depicted in Fig. 13.

For our analysis we used three different initial EPRsqueezing distributions, as depicted in Fig. 13(a). We visualized the remaining EPR squeezing in the first mode after filtering as a function of the single-mode character of the filtered state in Fig. 13(b). The three dotted lines correspond to states with the initial EPR-squeezing values presented in Fig. 13(a). The single-mode character is defined as the ratio between the EPR squeezing in the first mode divided by the EPR squeezing in all higher-order modes. This means a ratio of 10 already corresponds to EPR squeezing in the first mode which is ten times stronger then in all other optical modes. The blue shaded area marks the values accessible using our exemplary PDC spectrum. This figure shows that there is a



FIG. 13. (Color online) Quantitative analysis of the impact of filtering on the exemplary PDC state presented in Fig. 4 using the three different initial EPR-squeezing distributions given in panel (a). (b) Remaining EPR squeezing in the first mode as a function of the single-mode character, for various filter bandwidths. (c) Purity of the filtered state as a function of the single-mode character. The blue shaded areas are the accessible regions using our exemplary PDC state.

sharp tradeoff between the remaining EPR squeezing and the achievable single-mode character, especially when high initial EPR-squeezing values are present. Interestingly, the boundary in Fig. 13(b) shows that this cannot be offset by higher initial EPR-squeezing values.

The second important parameter of PDC is the remaining purity after the filtering process. We visualized the purity of the filtered states as a function of the single-mode character in Fig. 13(c). Again we used the exemplary PDC state from Fig. 4 and the three dotted lines correspond to various filters applied to the initial EPR-squeezing values from Fig. 13(a). It is evident that strongly EPR-squeezed PDC states feature a much higher drop in purity than weakly squeezed states.

In total our analysis shows that filtering PDC enables us to effectively suppress higher-order modes. For highly EPRsqueezed input states the losses in purity and EPR squeezing are, however, severe, whereas weakly EPR-squeezed states only suffer minor losses.

Finally, note that our developed framework is not limited to the symmetric PDC states and identical signal and idler filters, as exemplary presented throughout this paper, but is applicable to all kinds of PDC states and filter configurations.

VII. CONCLUSION

In conclusion, we developed a simple and straightforward quantitative theoretical model for filtered type-II PDC in the continuous-variable domain.

Our developed *SVD basis optimization* routine provides us with the *effective Schmidt basis* of the filtered state, as verified by our *global basis optimization*. It hence enables the precise and straightforward engineering and evaluation of the resulting filtered PDC states and consequently provides a quantitative analysis tool for the design of experimental implementations. While we found that the global optimization and the SVD optimization yield virtually identical results, it remains an open question to understand if and under what conditions the latter provides the optimal effective Schmidt basis.

Our theoretical framework further enabled us to accurately quantify the impact of narrowband optical filters on type-II PDC. Our analysis shows that narrowband optical filtering of pulsed type-II PDC effectively suppresses all but one optical mode; however, at high EPR-squeezing values the losses in purity and EPR squeezing are severe, whereas the purity and EPR squeezing of weakly squeezed EPR-squeezed states remains mostly unaffected.

This renders filtered PDC optimally suited for experiments in the photon-pair regime, such as the heralding of single photons, the generation of entangled photon pairs, or experiments where low squeezing values are sufficient. However, as soon as high EPR-squeezing values in a single well-defined optical mode are required, more complicated schemes such as *source engineering* have to be applied.

Finally, it should be noted that our theoretical framework is not only restricted to type-II PDC processes but can straightforwardly be adapted to type-I PDC and four-wavemixing processes, due to their similar mathematical structure.

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APPENDIX A: COVARIANCE MATRIX

The covariance matrix of a two-mode quantum state has 4×4 elements for all combinations of the quadratures \hat{X}_a , \hat{Y}_a , \hat{X}_b , \hat{Y}_b , which, for example, can fully describe an EPR state. In our case, we are working with a PDC source, which initially emits *N* optical modes, each containing an EPR state, i.e., the covariance matrix is of dimension $4N \times 4N$. This matrix consists of $N^2 4 \times 4$ submatrices, which we label a_{kl} . Each submatrix a_{kl} describes the correlations between an optical mode *k* and another optical mode *l*. Explicitly written down it is of the form

$$a_{kl} = \frac{1}{2} \begin{pmatrix} \left(\hat{X}_{a}^{k} \hat{X}_{a}^{l} + \hat{X}_{a}^{l} \hat{X}_{a}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{Y}_{a}^{l} + \hat{Y}_{a}^{l} \hat{X}_{a}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{X}_{b}^{l} + \hat{X}_{b}^{l} \hat{X}_{a}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{X}_{a}^{l} + \hat{Y}_{a}^{l} \hat{X}_{a}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{X}_{a}^{l} + \hat{X}_{b}^{l} \hat{X}_{a}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{X}_{a}^{l} + \hat{X}_{b}^{l} \hat{X}_{a}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{X}_{b}^{l} + \hat{X}_{b}^{l} \hat{X}_{a}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{Y}_{a}^{l} + \hat{Y}_{a}^{l} \hat{X}_{b}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{X}_{b}^{l} + \hat{X}_{b}^{l} \hat{X}_{b}^{k} \right) & \left(\hat{X}_{a}^{k} \hat{X}_{b}^{l} + \hat{X}_{b}^{l} \hat{X}_{b}^{k} \right) & \left(\hat{X}_{b}^{k} \hat{X}_{b}^{l} + \hat{X}_{b}^{l} \hat{X}_{b}^{k} \right) & \left(\hat{Y}_{b}^{k} \hat{X}_{b}^{l} + \hat{X}_{b}^{l} \hat{Y}_{b}^{k} \right) & \left(\hat{Y}_{b}^{k} \hat{Y}_{b}^{l} + \hat{Y}_{b}^{l} \hat{Y}_{b}^{k} \right) \end{pmatrix},$$
(A1)

where we dropped the displacements, since all quantum states considered in this paper are centered about zero in phase space. The elements of the covariance matrix of a filtered type-II PDC state are governed by many symmetries. For our filtered PDC state defined in Eq. (17), we are able to write the individual submatrices a_{kl} as

$$a_{kl} = \frac{1}{2} \begin{pmatrix} a & c & e & g \\ -c & a & g & -e \\ f & h & b & d \\ h & -f & -d & b \end{pmatrix},$$
 (A2)

with the individual elements defined as

$$a = \frac{1}{2} \left(\int d\omega U_a^k(\omega) U_a^{l*}(\omega) + \int d\omega R_a^k(\omega) R_a^{l*}(\omega) + \int d\omega V_a^{k*}(\omega) V_a^l(\omega) \right) + \int d\omega U_a^l(\omega) U_a^{k*}(\omega) + \int d\omega R_a^l(\omega) R_a^{k*}(\omega) + \int d\omega V_a^{l*}(\omega) V_a^k(\omega) \right),$$
(A3)

$$b = \frac{1}{2} \left(\int d\omega U_b^k(\omega) U_b^{l*}(\omega) + \int d\omega R_b^k(\omega) R_b^{l*}(\omega) + \int d\omega V_b^{k*}(\omega) V_b^l(\omega) \right) + \int d\omega U_b^l(\omega) U_b^{k*}(\omega) + \int d\omega R_b^l(\omega) R_b^{k*}(\omega) + \int d\omega V_b^{l*}(\omega) V_b^k(\omega) \right),$$
(A4)

$$c = \frac{1}{2i} \left(-\int d\omega U_a^k(\omega) U_a^{l*}(\omega) - \int d\omega R_a^k(\omega) R_a^{l*}(\omega) + \int d\omega V_a^{k*}(\omega) V_a^l(\omega) \right. \\ \left. + \int d\omega U_a^l(\omega) U_a^{k*}(\omega) + \int d\omega R_a^l(\omega) R_a^{k*}(\omega) - \int d\omega V_a^{l*}(\omega) V_a^k(\omega) \right),$$
(A5)

$$d = \frac{1}{2i} \left(-\int d\omega U_b^k(\omega) U_b^{l*}(\omega) - \int d\omega R_b^k(\omega) R_b^{l*}(\omega) + \int d\omega V_b^{k*}(\omega) V_b^l(\omega) \right. \\ \left. + \int d\omega U_b^l(\omega) U_b^{k*}(\omega) + \int d\omega R_b^l(\omega) R_b^{k*}(\omega) - \int d\omega V_b^{l*}(\omega) V_b^k(\omega) \right),$$
(A6)

$$e = \frac{1}{2} \left(\int d\omega U_a^k(\omega) V_b^l(\omega) + \int d\omega V_a^{k*}(\omega) U_b^{l*}(\omega) + \int d\omega U_b^l(\omega) V_a^k(\omega) + \int d\omega V_b^{l*}(\omega) U_a^{k*}(\omega) \right),$$
(A7)

$$f = \frac{1}{2} \left(\int d\omega U_b^k(\omega) V_a^l(\omega) + \int d\omega V_b^{k*}(\omega) U_a^{l*}(\omega) + \int d\omega U_a^l(\omega) V_b^k(\omega) + \int d\omega V_a^{l*}(\omega) U_b^{k*}(\omega) \right),$$
(A8)

$$g = \frac{1}{2i} \left(\int d\omega U_a^k(\omega) V_b^l(\omega) - \int d\omega V_a^{k*}(\omega) U_b^{l*}(\omega) + \int d\omega U_b^l(\omega) V_a^k(\omega) - \int d\omega V_b^{l*}(\omega) U_a^{k*}(\omega) \right),$$
(A9)

$$h = \frac{1}{2i} \left(\int d\omega U_b^k(\omega) V_a^l(\omega) - \int d\omega V_b^{k*}(\omega) U_a^{l*}(\omega) + \int d\omega U_a^l(\omega) V_b^k(\omega) - \int d\omega V_a^{l*}(\omega) U_b^{k*}(\omega) \right).$$
(A10)

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APPENDIX B: EINSTEIN–PODOLSKY–ROSEN SQUEEZING AFTER FILTERING

Without filtering, the generated EPR squeezing and antisqueezing can be directly calculated from the r_k values. However, when filtering is applied, the formalism becomes more complicated. In this configuration we explicitly have to consider the different variances between the signal and idler beams. They are defined as

$$\begin{split} \Delta^{2} \hat{X}_{(-)}^{k} &= \Delta^{2} (\hat{X}_{a}^{k} - X_{b}^{k}), \\ \Delta^{2} \hat{X}_{(+)}^{k} &= \Delta^{2} (\hat{X}_{a}^{k} + X_{b}^{k}), \\ \Delta^{2} \hat{Y}_{(-)}^{k} &= \Delta^{2} (\hat{Y}_{a}^{k} - Y_{b}^{k}), \\ \Delta^{2} \hat{Y}_{(+)}^{k} &= \Delta^{2} (\hat{Y}_{a}^{k} + Y_{b}^{k}). \end{split}$$
(B1)

We can directly extract these values from our filtered covariance matrices detailed in Appendix A. The variances in mode kcan be calculated from the submatrix a_{kk} , defined in Eq. (A2), via the relation

$$\Delta^{2} \hat{X}_{(-)}^{k} = \Delta^{2} \hat{Y}_{(+)}^{k} = a + b - e - f,$$

$$\Delta^{2} \hat{X}_{(+)}^{k} = \Delta^{2} \hat{Y}_{(-)}^{k} = a + b + e + f.$$
(B2)

These variances can be transformed to the EPR (anti-) squeezing in dB by the formulas

(anti-) squeezing [dB] =
$$-10 \log_{10} \left[\Delta^2 \hat{X}^k_{(+/-)} \right]$$
,
(anti-) squeezing [dB] = $-10 \log_{10} \left[\Delta^2 \hat{Y}^k_{(+/-)} \right]$. (B3)

APPENDIX C: SIMULATED PARAMETRIC-DOWN-CONVERSION STATE

In the scope of this paper we investigate the effects of filtering on an exemplary anticorrelated type-II PDC state. In order to simplify the discussion we developed a PDC toy model. According to Sec. III we only require two sets of mode functions $\{\psi_k(\omega_s)\}$ and $\{\phi_k(\omega_i)\}$ for the signal and idler modes, respectively, and a r_k distribution to fully describe a type-II PDC state.

In order to obtain the mode functions, we approximate the JSA $f(\omega_s, \omega_i)$ as a real two-dimensional normalized Gaussian function—this corresponds to PDC pumped by a pulsed pump laser—by using

$$f(\omega_s, \omega_i) = \frac{1}{\sqrt{N}} \exp\left[-\frac{[\omega_s \cos(\theta) + \omega_i \sin(\theta)]^2}{2\sigma_a^2}\right] \\ \times \exp\left[-\frac{[-\omega_s \sin(\theta) + \omega_i \cos(\theta)]^2}{2\sigma_b^2}\right].$$
(C1)

Here, σ_a and σ_b give the widths of the individual onedimensional (1D) Gaussians, θ gives the tilt in the ω_s - ω_i plane, and $\frac{1}{\sqrt{N}}$ is the normalization constant. For our simulations we use $\sigma_a = 6.0$, $\sigma_b = 2.0$, and $\theta = -\frac{\pi}{4}$.

Via a Schmidt decomposition we decompose the JSA as

$$f(\omega_s,\omega_i) = \sum_k \lambda_k \psi_k(\omega_s) \phi_k(\omega_i), \qquad (C2)$$

which yields the required signal and idler basis sets and a normalized λ_k distribution ($\sum_k \lambda_k^2 = 1$). Finally, we transform the λ_k distribution to the missing r_k distribution via the optical gain B ($r_k = B\lambda_k$), where B is real valued, positive, and adjusted to yield the desired EPR-squeezing values.

This simplified type-II PDC model is extremely flexible, simple, and, most importantly, enables us to work with strictly real-valued functions, which facilitate a straightforward display of our results throughout the paper.

APPENDIX D: PROPERTIES OF FILTERED TYPE-II PARAMETRIC DOWN-CONVERSION

In the main part of the paper we state that the filtering, in general, leads to correlations between the individual modes, which, even performing a basis optimization, can only be minimized. We also claim that, in accordance with the literature [49–51] the resulting mixed quantum states do not, in general, feature a Schmidt decomposition. In this section we are going to perform some analysis concerning these properties of filtered PDC.

In general we would like to find a broadband basis set in which we are able to write the filtered PDC state similar to Eq. (8), i.e., the individual modes are completely independent from each other [55]. To illustrate the issues with this transformation let us first revisit Eq. (6) by using the definitions for the U and V matrices from Eq. (7):

$$\hat{a}^{(\text{out})}(\omega) = \int d\omega' \sum_{k} \psi_{k}^{*}(\omega) \cosh(r_{k}) \psi_{k}(\omega') \hat{a}^{(\text{in})}(\omega') + \int d\omega' \sum_{k} \psi_{k}^{*}(\omega) \sinh(r_{k}) \phi_{k}^{*}(\omega') \hat{b}^{(\text{in})\dagger}(\omega'),$$
$$\hat{b}^{(\text{out})}(\omega) = \int d\omega' \sum_{k} \phi_{k}^{*}(\omega) \cosh(r_{k}) \phi_{k}(\omega') \hat{b}^{(\text{in})}(\omega') + \int d\omega' \sum_{k} \phi_{k}^{*}(\omega) \sinh(r_{k}) \psi_{k}^{*}(\omega') \hat{a}^{(\text{in})\dagger}(\omega').$$
(D1)

To transform this equation into the broadband-mode picture we replace the mode functions to the right of the cosh and sinh terms, in conjunction with the photon creation and destruction operators and the ω' integrals, with the broadband-mode operators defined in Eq. (3). To obtain broadband modes on the left-hand side of Eq. (D1) we multiply, in the case of the upper formula, both sides with $\int d\omega \psi_k(\omega)$. This yields broadband modes on the left-hand side and δ_{kl} functions on the right-hand side, getting rid of the summation. We arrive at Eq. (8), which nicely depicts the EPR properties of type-II PDC in the Heisenberg picture.

Unfortunately, in the filtering case, this procedure is not possible any more. If we let the filter from Eq. (13) act on the PDC state in the Heisenberg picture from Eq. (6) and use the *Schmidt* form of the U and V matrices from Eq. (7), we

arrive at

$$\hat{a}^{(\text{out)}}(\omega) = T_a(\omega) \left[\int d\omega' \sum_k \psi_k^*(\omega) \cosh(r_k) \psi_k(\omega') \hat{a}^{(\text{in})}(\omega') + \sum_k \psi_k^*(\omega) \sinh(r_k) \phi_k^*(\omega') \hat{b}^{(\text{in})\dagger}(\omega') \right] + R_a(\omega) \hat{v}_a(\omega), \quad (D2)$$

$$\hat{b}^{(\text{out)}}(\omega) = T_b(\omega) \left[\int d\omega' \sum_k \phi_k^*(\omega) \cosh(r_k) \phi_k(\omega') \hat{b}^{(\text{in})}(\omega') + \sum_k \phi_k^*(\omega) \sinh(r_k) \psi_k^*(\omega') \hat{a}^{(\text{in})\dagger}(\omega') \right] + R_b(\omega) \hat{v}_b(\omega). \quad (D3)$$

This formula clearly shows that the functions $\psi_k^*(\omega)$ and $\phi_k^*(\omega)$, which form the detection modes, to the left of the sinh and cosh terms are multiplied by the filter shapes. If we repeat the steps as for the unfiltered PDC state and multiply, in the upper formula, both sides with $\int d\omega \psi_k(\omega)$ the added filter functions lead to overlaps between several modes simultaneously. Consequently couplings between the different modes occur. It is not possible to find a new broadband mode basis, where the different modes are uncorrelated.

There is only a single exception: If we assume that the signal and idler modes are real and identical, all r_k values share an identical excitation and the filters in the signal and idler are are identical as well. In this specific case we can write the filtered state as

$$\hat{a}^{(\text{out})}(\omega) = \int d\omega' \cosh(r) \sum_{k} T(\omega) \psi_{k}(\omega) \psi_{k}(\omega') \hat{a}^{(\text{in})}(\omega') + \sinh(r) \sum_{k} T(\omega) \psi_{k}(\omega) \psi_{k}(\omega') \hat{b}^{(\text{in})\dagger}(\omega') + R(\omega) \hat{v}_{a}(\omega), \quad (D4)$$

$$\hat{b}^{(\text{out})}(\omega) = \int d\omega' \cosh(r) \sum_{k} T(\omega) \psi_{k}(\omega) \psi_{k}(\omega') \hat{b}^{(\text{in})}(\omega') + \sinh(r) \sum_{k} T(\omega) \psi_{k}(\omega) \psi_{k}(\omega') \hat{a}^{(\text{in})\dagger}(\omega') + R(\omega) \hat{v}_{b}(\omega).$$
(D5)

In this simplification the same term appears four times, on which we now perform the following Schmidt decomposition:

$$\sum_{k} T(\omega) \psi_{k}(\omega) \psi_{k}(\omega') = \sum_{k} \kappa_{k} \varphi_{k}(\omega) \xi_{k}(\omega').$$
(D6)

Further introducing the four new broadband-mode functions:

$$\hat{E}_{k}^{(\text{out})} = \int d\omega \varphi_{k}(\omega) \,\hat{a}(\omega),$$

$$\hat{F}_{k}^{(\text{out})} = \int d\omega \varphi_{k}(\omega) \,\hat{b}(\omega),$$

$$\hat{G}_{k}^{(\text{in})} = \int d\omega \xi_{k}(\omega) \,\hat{a}(\omega),$$

$$\hat{H}_{k}^{(\text{in})} = \int d\omega \xi_{k}(\omega) \,\hat{b}(\omega),$$
(D7)

we are, in fact, able to write Eq. (D5) in the broadband-mode formalism and decouple the individual

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modes

$$\begin{split} \hat{E}_{k}^{(\text{out})} &= \kappa_{k} \big[\cosh\left(r\right) \hat{G}_{k}^{(\text{in})} + \sinh\left(r\right) \hat{H}_{k}^{(\text{in})\dagger} \big] + R\left(\omega\right) \hat{v}_{a}\left(\omega\right), \\ \hat{F}_{k}^{(\text{out})} &= \kappa_{k} \big[\cosh\left(r\right) \hat{H}_{k}^{(\text{in})} + \sinh\left(r\right) \hat{G}_{k}^{(\text{in})\dagger} \big] + R\left(\omega\right) \hat{v}_{a}\left(\omega\right). \end{split}$$
(D8)

In this approximation it is consequently possible to find a new broadband-mode basis, where all individual modes remain orthonormal after the filtering and the filter, in fact, simply acts as a standard loss which, however, affects the individual modes differently.

For actual PDC sources it is, however, not possible to actually implement all of the above mentioned simplifications, with only the exception of a PDC process pumped by a continuous-wave laser which can approximate these requirements. Hence our conclusion from the main part of the paper remains valid: Filtering, in general, leads to correlations between different modes. Still, this analytic calculation gives some insight into our *SVD basis optimization* routine, presented in the main part of the paper. It is, in fact, almost identical to the decomposition shown in Eq. (D6), which partly explains why its performance is almost indistinguishable from the *global basis optimization* routine.

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